

# Electronic Filing System (EFS) Data **Electronic Patent Application Submission USPTO** Use Only

EFS ID:

66844

Application ID:

10644891

Title of Invention:

METHOD AND APPARATUS FOR PORTFOLIO TRADING USING

**MARGIN** 

First Named Inventor:

Steven Wallman

Domestic/Foreign Application: Domestic Application

Filing Date:

2004-08-17

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2004-08-18

Submission Type:

Information Disclosure

Statement

Filing Type:

Confirmation number:

6367

Attorney Docket Number:

1061/6

**Total Fees Authorized:** 

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#### **TRANSMITTAL**

在lectronic Version v1.1 Stylesheet Version v1.1.0

Title of Invention

METHOD AND APPARATUS FOR PORTFOLIO TRADING USING MARGIN

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10/644891

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Steven M. H. Wallman

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Submitted by:	Elec. Sign.	Sign. Capacity
Michael P. Fortkort Registered Number: 35141	/Michael P. Fortkort/	Attorney

Documents being submitted

**Files** 

us-ids

1061-6IDS-usidst.xml

us-ids.dtd

us-ids.xsl

#### Comments

THIS IDS IS BEING FILED UNDER 37 C.F.R. 1.97(b) before the mailing date of a first

Office Action on the merits (37 C.F.R. 1.97(b)(3)). No fee or statement is required. If the Examiner has any questions concerning this IDS, he/she is requested to contact the undersigned. If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule (with a petition if necessary) and charge the appropriate fee to Deposit Account No. 50-1047. If Applicant has overlooked any additional fees, or if any overpayment has been made, the Commissioner is hereby authorized to credit or debit Deposit Account 50-1047.

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## **ELECTRONIC INFORMATION DISCLOSURE STATEMENT**

Electronic Version v18 Stylesheet Version v18.0

> Title of Invention

METHOD AND APPARATUS FOR PORTFOLIO TRADING **USING MARGIN** 

**Application Number:** 

10/644891

**Confirmation Number:** 

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First Named Applicant:

Steven Wallman

Attorney Docket Number: 1061/6

Art Unit:

3624

Search string:

(5812987 or 6219650 or 6275814 or

6360210 ).pn.

#### **US Patent Documents**

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
	1	5812987	1998-09-22	Luskin et al.	]	705	36
	2	6219650	2001-04-17	Friend et al.	B1	705	36
	3	6275814	2001-08-14	Giansante et al.	B1	705	36
	4	6360210	2002-03-19	Wallman	B1	705	36

### Signature

Examiner Name	Date